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# Guidance

## Drug Safety Information – FDA’s Communication to the Public

### *DRAFT GUIDANCE*

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**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)**

**March 2012  
Drug Safety**

**Revision 1**

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# Guidance

## Drug Safety Information – FDA’s Communication to the Public

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**U.S. Department of Health and Human Services  
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1 **Guidance<sup>1</sup>**  
2 **Drug Safety Information –**  
3 **FDA’s Communication to the Public**  
4

5  
6 This draft guidance, when finalized, will represent the Food and Drug Administration’s (FDA’s) current  
7 thinking on this topic. It does not create or confer any rights for or on any person and does not operate to  
8 bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of  
9 the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA  
10 staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call  
11 the appropriate number listed on the title page of this guidance.  
12

13  
14  
15 **INTRODUCTION**  
16

17 This guidance explains how FDA develops and disseminates information to the public about  
18 important drug safety issues, including emerging drug safety information.<sup>2</sup> Timely  
19 communication of important drug safety information provides health care professionals, patients,  
20 consumers, and other interested persons with access to the most current information concerning  
21 the potential risks and benefits of a marketed drug, helping them to make more informed  
22 treatment choices.  
23

24 This guidance revises the March 2007 guidance, *Drug Safety Information – FDA’s*  
25 *Communication to the Public*<sup>3</sup> by providing updated information about FDA’s approach to  
26 communicating important drug safety information. The revised guidance describes the Center for  
27 Drug Evaluation and Research’s (CDER’s) single, standardized format for electronic drug safety  
28 communications about marketed drugs and provides information about the Center for Biologics  
29 Evaluation and Research’s (CBER’s) safety communication activities. In addition, the revised  
30 guidance describes FDA’s posting of other safety assessments on its Web site in accordance with  
31 the requirements of the Food and Drug Administration Amendments Act of 2007 (FDAAA) and  
32 to further our transparency objectives. When finalized, this guidance will replace the 2007  
33 guidance.

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<sup>1</sup> This guidance has been prepared by the Office of Communications in the Center for Drug Evaluation and Research (CDER) in consultation with CDER’s Safety First Steering Committee at the Food and Drug Administration and in cooperation with the Center for Biologics Evaluation and Research (CBER).

<sup>2</sup> For purposes of this guidance, all references to *drugs* include both human drugs and biological drug products. This guidance does not apply to human cells, tissues, and cellular and tissue-based products regulated solely under section 361 of the Public Health Service Act.

<sup>3</sup> We update guidance documents periodically. To make sure you have the most recent version of a guidance, check the Guidances (Drugs) page at <http://www.fda.gov/RegulatoryInformation/Guidances/default.htm>. Although this guidance addresses drug safety communications in general, it is not meant to be a comprehensive description of our communications for the wide range of products regulated by FDA (e.g., vaccines). FDA’s Web site contains more specific information for certain classes of products.

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34 FDA’s guidance documents, including this guidance, do not establish legally enforceable  
35 responsibilities. Instead, guidances describe the Agency’s current thinking on a topic and should  
36 be viewed only as recommendations, unless specific regulatory or statutory requirements are  
37 cited. The use of the word *should* in Agency guidances means that something is suggested or  
38 recommended, but not required.

39  
40

### **41 BACKGROUND**

42

43 All drugs have risks, and health care professionals and patients must balance the risks and  
44 benefits of a drug therapy when making decisions about whether to use the drug. The general  
45 risks and benefits of a drug therapy are described in the product’s prescribing information. In  
46 addition, however, FDA provides information on drug risks and benefits to health care  
47 professionals and patients when that information has generated a specific concern, usually  
48 waiting until that information has been fully evaluated and has prompted a regulatory action,  
49 such as a revision to the drug’s prescribing information. In recent years, FDA has begun making  
50 information on potential drug risks available to the public earlier — often while the Agency is  
51 still evaluating the data and determining whether any regulatory action is warranted. FDA  
52 believes that timely communication of important drug safety information will give health care  
53 professionals, patients, consumers, and other interested persons access to the most current  
54 information concerning the potential risks and benefits of a marketed drug, helping them to make  
55 more informed individual treatment choices.

56

57 The following questions and answers provide general guidance on how FDA communicates  
58 important safety information to the public.

59

60

### **61 QUESTIONS AND ANSWERS**

62

#### **63 1. What Is This Guidance About?**

64

65 This guidance describes how FDA develops and disseminates information to the public about  
66 important drug safety issues, including emerging drug safety information. As discussed in more  
67 detail below, an *important drug safety issue* is one that has the potential to alter the benefit–risk  
68 analysis for a drug in such a way as to affect decisions about prescribing or taking the drug.  
69 Examples of important drug safety issues include, but are not limited to:

70

- 71 • Serious adverse drug reactions identified after drug approval
- 72
- 73 • Medication errors, which include, but are not limited to, confusion between drug names  
74 and confusion regarding drug labeling. These may lead to improper use of the drug, to  
75 prescribing or administering an improper dose, or to a patient’s taking another medication  
76 with which the drug interacts.
- 77

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78 We use the term *emerging drug safety information* to describe information FDA is monitoring or  
79 analyzing that may have the potential to alter the benefit–risk analysis for a drug in a way that  
80 would affect decisions about prescribing or taking the drug, but that has not yet been fully  
81 analyzed or confirmed. Such information may relate to new risks or new information about  
82 known risks.

83  
84 FDA may disseminate important drug safety information by other methods and at other times  
85 than those described in this guidance. For example, FDA may decide to issue a Public Health  
86 Alert or a press release about a medical product or hold a media briefing to communicate  
87 important risk information.

### 88 89 **2. How Does FDA Evaluate Drug Safety Information?**

90  
91 FDA monitors and reviews safety information about a drug throughout the product’s lifecycle,  
92 interacting with sponsors during product development and clinical investigation of the drug,  
93 closely reviewing safety issues during consideration of a marketing application, and, if the drug  
94 is approved, monitoring safety reports after the drug is marketed. Every approved drug has  
95 labeling (e.g., prescribing information) that contains, among other things, information about the  
96 benefits and risks of using the drug.

97  
98 After drug approval, FDA may learn of new, or more serious or more frequent, adverse drug  
99 reactions from, for example, postapproval voluntary or mandatory reporting of adverse drug  
100 reactions during use of the drug, postapproval clinical trials exploring new uses of the drug, other  
101 postapproval studies including epidemiologic studies or active surveillance evaluations. For  
102 example, additional adverse drug reactions, some of them serious, may be identified once a drug  
103 is used more widely and under more diverse conditions (e.g., concurrent use with other drugs), or  
104 when the drug is prescribed for off-label uses. In some cases, medication errors can occur  
105 because of name confusion or other factors that influence safe use of the medication.

106  
107 As new information related to a drug becomes available, the Agency reviews the data and  
108 evaluates whether there is an emerging drug safety concern. When such a concern arises,  
109 relevant medical and scientific experts within FDA engage in a prompt review and analysis of  
110 available data. Often, however, there is a period of uncertainty while FDA evaluates the  
111 emerging safety information to determine whether there is an important drug safety issue related  
112 to a specific drug or drug class and whether regulatory action is appropriate and, if so, what type  
113 of action is necessary.<sup>4</sup>

114

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<sup>4</sup> FDA recently issued a draft guidance to FDA staff for comment on *Classifying Significant Postmarket Drug Safety Issues*. This guidance describes the methodological framework by which FDA will classify significant postmarket drug safety issues as *priority*, *standard*, or *emergency*. This guidance is available at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>. The draft, when finalized, will reflect the Agency’s current thinking on this issue.

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115 During this period, FDA also is actively engaged in scientific efforts to gather additional safety  
116 information. Drug sponsors<sup>5</sup> also gather and evaluate emerging safety information and provide  
117 the results of their analyses to FDA. As additional data relevant to an emerging drug safety issue  
118 become available (e.g., data from an ongoing study or trial, data from surveillance evaluations,  
119 or data from available clinical databases), these data are considered in the analysis and decision-  
120 making process. FDA may decide that, based on evaluation of additional data related to the  
121 drug, further regulatory action, such as requiring a revision to prescribing information or a Risk  
122 Evaluation and Mitigation Strategy (REMS), may be appropriate.

123  
124 Interpreting postmarket safety data is complex, involving analysis of clinical data and detailed  
125 review of a wide range of potentially relevant information, including adverse drug experience  
126 spontaneous reports, pertinent controlled clinical trials and epidemiologic studies, active  
127 surveillance efforts, estimates of drug usage and adverse drug experience reporting rates,  
128 estimates of background rates of the adverse event, and other relevant information. Decisions  
129 about how to address a safety concern often are a matter of judgment about which reasonable and  
130 adequately informed persons with relevant expertise may disagree. We engage in robust and  
131 comprehensive discussions within the Agency regarding potential drug safety issues to ensure  
132 that all points of view are considered before making a decision on how to proceed.<sup>6</sup> We may  
133 consult the Drug Safety Oversight Board, established by FDA in February 2005, asking it to  
134 provide recommendations to the center director regarding the management and communication  
135 of an emerging drug safety issue.<sup>7</sup> We also may engage in external discussions by convening an  
136 Advisory Committee, or coordinating with other public health agencies, such as the Centers for  
137 Disease Control and Prevention, or the National Vaccine Program Office, regarding an emerging  
138 drug safety issue.

139  
140 As the Agency evaluates a drug safety issue to determine whether regulatory action is warranted,  
141 we may decide to communicate further information to the public at appropriate points during the  
142 decision-making process. Consistent with our public health mandate, we may advise the public  
143 of an emerging drug safety concern as well as the next steps the Agency may take regarding an  
144 important drug safety issue, and there may be updates to this information.

145

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<sup>5</sup> The term *sponsor* is used broadly in this guidance to refer to the individual or entity that markets a drug or that takes responsibility for and initiates a clinical investigation of a drug. Usually, the sponsor is the owner of the application (*application holder*) for the drug. The *sponsor* also might be the manufacturer of the drug.

<sup>6</sup> See the Manual of Policies and Procedures (MAPP) 4151.1, *Scientific/Regulatory Dispute Resolution for Individuals Within a Management Chain*, Revision 1, effective September 16, 2010; MAPP 4151.2, *Resolution of Differing Professional Opinions: Review by Ad Hoc Panel and CDER Director*, Revision 1, effective September 16, 2010; and MAPP 4151.8, *Equal Voice: Discipline and Organizational Component Collaboration in Scientific and/or Regulatory Decisions*, effective September 16, 2010. These MAPPs can be accessed at <http://www.fda.gov/AboutFDA/CentersOffices/CDER/ManualofPoliciesProcedures/default.htm>. See also the CBER Standard Operating Procedure and Policy (SOPP) 8006: Resolution of Differences in Scientific Judgment in the Review Process, Version #2, effective January 15, 2009, available at <http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/ProceduresSOPPs/ucm109584.htm>.

<sup>7</sup> The DSB was subsequently established by statute as part of the Food and Drug Administration Amendments Act of 2007 (FDAAA), creating section 505-1(j) of the Federal Food, Drug, and Cosmetic Act.

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### 146 **3. When Does FDA Communicate Emerging Drug Safety Information to the Public?**

147  
148 FDA currently disseminates emerging drug safety information after having completed an  
149 analysis of available data and, in some cases, before having reached a decision about whether  
150 regulatory action is warranted. FDA communications about emerging drug safety information  
151 can help achieve certain long-standing public health goals, including enhanced vigilance on the  
152 part of health care professionals who also may be prompted to increase their reporting of safety  
153 observations to FDA.

154  
155 FDA recognizes the potential public health implications of providing emerging drug safety  
156 information, and we are particularly concerned about possible unintended consequences, such as  
157 inappropriate modification or discontinuation of useful treatment. We attempt to anticipate and  
158 address these possible consequences through our risk communications by (1) describing the  
159 nature of a safety concern and what is known about its relationship to a particular drug and (2)  
160 making recommendations for health care professionals and patients about how to monitor for and  
161 manage the concern.

162  
163 With respect to potentially important information, the dual goals of having people informed as  
164 early as possible and having that information thoroughly substantiated inevitably creates tension.  
165 Despite this tension, we lean toward early communication of emerging drug safety information  
166 unless, in our judgment, the information available is not reliable enough to be useful and could  
167 mislead the public. We recognize this means that, in some cases, we will have to say that a  
168 safety concern “has not yet been substantiated.” Our goal is to make emerging drug safety  
169 information available to the public in a balanced, impartial manner so that health care  
170 professionals and patients can consider the information when making decisions about medical  
171 treatment, despite uncertainties in the data. FDA is committed to providing accurate, clear,  
172 reliable, and useful drug safety information.

173  
174 FDA considers many factors in the course of evaluating an emerging drug safety issue and  
175 deciding whether emerging drug safety information should be made available to the public.  
176 These factors may include, but are not limited to, the following:

- 177
- 178 • Seriousness of the event (e.g., severity and reversibility) relative to the benefits of
  - 179 treatment
  - 180 • Magnitude of the risk (e.g., likelihood of occurrence)
  - 181 • Strength of the evidence of a causal relationship between the use of a drug and the
  - 182 adverse event<sup>8</sup>
  - 183 • Extent of patient exposure (e.g., how broadly the drug is used)
  - 184 • Disproportionate impact on particular populations (e.g., children or the elderly)

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<sup>8</sup> See, for example, guidance for industry on *Good Pharmacovigilance Practices and Pharmacoepidemiologic Assessment* at pages 6 to 7 and 17 to 18, available at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.



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- 185 • Potential for preventing or mitigating the risk in the patient population (e.g., by
- 186 monitoring patient selection or avoiding a concomitant treatment)
- 187 • Availability of alternative therapies

188  
189 The decision to provide information about an emerging drug safety issue does not necessarily  
190 mean that FDA has concluded there is a causal relationship between the drug and the adverse  
191 event described. Nor does communicating emerging drug safety information necessarily mean  
192 that FDA is advising health care professionals to limit their prescribing of the drug at issue.  
193 Rather, the communications are intended to further inform prescribing and assist health care  
194 professionals in making individualized treatment decisions with their patients, based on the  
195 balance of potential benefits and risks of the drug for that patient.

196  
197 At times, decisions to communicate about important drug safety issues are affected by  
198 information the public has received from sources other than FDA, such as the mainstream media.  
199 In these cases, the safety of a particular drug or drug class may be publicly questioned based on  
200 information provided by these other sources that may be incorrect, incomplete, or misleading. In  
201 such cases, FDA may issue a statement or engage in other methods of communication to clarify  
202 or correct information and respond to public interest.

203  
204 FDA strives to keep all communications clear and understandable. We also consider elements of  
205 human behavior in our communications. We realize, for instance, that risk information provided  
206 without context may alarm patients, causing them to discontinue needed medication. With all  
207 drug safety communications, FDA now makes a concerted effort to communicate the benefits of  
208 a drug along with its risk. Whenever possible and appropriate, when we communicate drug  
209 safety information, we include specific advice to patients who use the drug on its safe and  
210 effective use to facilitate discussions with their health care practitioners.

### 211 212 **4. How Does FDA Communicate Important Drug Safety Information to the Public?**

213  
214 FDA has created effective and ongoing relationships with a wide array of trade and professional  
215 associations, patient advocacy and consumer groups, safety organizations, media, and other  
216 entities. When drug safety issues arise, we reach out to these groups and work with them to  
217 communicate the safety issue to their constituencies.

218  
219 FDA uses various tools and methods to communicate drug safety information to the public.  
220 Important tools used in this effort include, but are not limited to, FDA-approved prescribing  
221 information (i.e., drug labeling) and a postmarket communication tool called a *Drug Safety*  
222 *Communication* (DSC), both discussed in the following questions, along with other important  
223 tools and methods we use to communicate drug safety information to the public.

### 224 225 **5. What is FDA-Approved Labeling?**

226  
227 FDA-approved prescribing information for health care professionals — and patient package  
228 inserts and Medication Guides for patients — is the primary source of established information  
229 about a drug’s safety and efficacy; it summarizes the essential scientific information needed for

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230 the safe and effective use of the drug. The prescribing information for prescription drugs  
231 contains sections directed to health care professionals, and may also include sections that are  
232 intended for patients.<sup>9</sup>

233  
234 For some prescription drugs, such as oral contraceptives and estrogens, FDA long ago  
235 determined that the safe and effective use of the drug required additional information in  
236 nontechnical language to be distributed directly to patients by their health care practitioner or  
237 pharmacist (21 CFR 310.501 and 310.515). These *patient package inserts* also may be provided  
238 voluntarily by manufacturers for other drugs and are regulated by FDA as labeling.

239  
240 When patient-directed information is considered necessary for proper use of a drug, FDA  
241 requires patient-oriented information in nontechnical language in the form of *Medication Guides*  
242 (MedGuides). These have been required for certain prescription drugs that pose a serious and  
243 significant public health concern and for which FDA-approved patient information is necessary  
244 for safe and effective use of the drug. MedGuides are required if FDA determines that one or  
245 more of the following circumstances exist:

- 246  
247 • Patient-focused information (*patient labeling*) could help prevent serious adverse effects.
- 248  
249 • A drug product has serious risk(s) (relative to benefits) of which patients should be made  
250 aware because information concerning the risk(s) could affect a patient's decision to use,  
251 or to continue to use, the product.
- 252  
253 • A drug product is important to health, and patient adherence to directions for use is  
254 crucial to the drug's effectiveness.<sup>10</sup>

255  
256 In addition, over-the-counter (OTC) drugs bear a *Drug Facts* label that conveys information in a  
257 clear, standardized format to enable consumer self-selection of an appropriate drug and enhance  
258 the safe and effective use of the drug by consumers.<sup>11</sup>

259  
260 FDA-approved prescribing information for CDER-regulated drug products is available on the  
261 FDA Web site at *Drugs@FDA*. FDA-approved prescribing information for CBER-regulated  
262 products is available on the FDA Web site.<sup>12</sup> In addition, FDA facilitates the availability of up-  
263 to-date drug prescribing information in an easily accessible electronic format on the National  
264 Library of Medicine Web site at *DailyMed*.<sup>13</sup> See also question 10.

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<sup>9</sup> In the *Federal Register* of January 24, 2006 (71 FR 3922), FDA published a final rule, "Requirements on Content and Format of Labeling for Human Prescription Drug and Biological Products," designed to improve the usefulness of prescribing information for prescription drugs approved after June 30, 2001 (for further information, see <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/LawsActsandRules/ucm084159.htm>). Labeling for these drugs is currently being converted to the new content and format according to a schedule determined at the time of publication of the final rule, and is expected to facilitate the safe and optimal use of prescription drugs.

<sup>10</sup> See 21 CFR 208.1.

<sup>11</sup> See 21 CFR 201.66 (format and content requirements for over-the-counter (OTC) drug product labeling).

<sup>12</sup> See <http://www.fda.gov/BiologicsBloodVaccines/ucm121134.htm>.

<sup>13</sup> See <http://dailymed.nlm.nih.gov/dailymed/about.cfm>.

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### 6. What is a CDER Drug Safety Communications (DSC)?

A *Drug Safety Communication* (DSC) is a specific tool used by FDA to communicate to the public important information about safety issues, including emerging safety information, about marketed drugs. DSCs are standardized electronic communications posted on the FDA Web site.<sup>14</sup> Written as clearly as possible, DSCs are targeted to both health care professionals and patients. DSCs generally communicate the following information:

- A summary of the safety issue and the nature of the risk being communicated
- The established benefit or benefits of the drug being discussed
- Recommended actions for health care professionals and patients, when appropriate
- A summary of the data reviewed or being reviewed by FDA

The DSC is FDA’s primary safety communication tool for important postmarket drug safety issues. In the past, and at the time our March 2007 guidance was released on this topic, safety communications were issued by FDA in a variety of formats. They were issued under different titles and targeted to different audiences. For instance, in August 2007, FDA began issuing *Early Communications about Ongoing Safety Reviews* (ECs) to keep health care professionals and the general public informed of postmarket safety issues under evaluation by FDA. Safety communications have also been issued under the titles *Public Health Advisory*, *Patient Information Sheet*, *Healthcare Professional Sheet*, and *Alerts on Patient Information and Healthcare Professional Sheets*, and, as these titles suggest, have targeted different audiences. To improve the clarity of our communications, FDA began using a single communication vehicle — the *Drug Safety Communication* — in early 2010.

Some DSCs are related to drug safety issues that continue to develop as more information is obtained. FDA disseminates follow-up DSCs to keep the public informed of new information pertaining to a previously communicated DSC. In addition, some emerging safety information may take a long time to evaluate (if, for example, there is a need for additional clinical trial or epidemiological data to further assess the risk). During the evaluation period, FDA may issue a follow-up DSC as a public reminder, even if no additional information is available since the original DSC was issued.

*Note:* Although a DSC communicates important safety issues about marketed drugs, it is **not** a crisis communication document. If a drug product is defective or tainted, or poses some other form of immediate danger, FDA uses other communication tools, such as *Public Health Alerts*, press releases, stakeholder calls, and media briefings, to inform the public rapidly and protect public health.

### 7. How Does CBER Communicate Safety Information?

FDA’s Center for Biologics Evaluation and Research (CBER) communicates important postmarket safety information regarding biological products to the public using the most

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<sup>14</sup> See at <http://www.fda.gov/Drugs/DrugSafety/ucm199082.htm>.

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308 appropriately targeted communication, taking into consideration the type of product (e.g.,  
309 vaccine, blood product, or cell therapy), safety issue, and audience. Examples of communication  
310 tools include Public Health Notifications, press releases, and safety information updates. These  
311 safety communications, like DSCs noted above, include the following important information:  
312 (1) a summary of the safety issue and FDA’s current understanding of the risk; (2) a summary of  
313 information, including the source of the information, reviewed by FDA; (3) information on the  
314 benefits and risks of the product involved; and (4) when available and appropriate,  
315 recommendations for health care professionals and/or patients and caregivers. Follow-up  
316 information is disseminated to keep the public informed of new information pertaining to a  
317 previously communicated safety issue. CBER may issue a follow-up as a public reminder, even  
318 if no additional information is available since the original communication was issued.  
319

320 As with CDER-regulated products, if a CBER-regulated biological product is defective or  
321 tainted, or poses some other form of immediate danger, FDA may choose from a variety of other  
322 communication tools and channels to rapidly inform the public and protect public health.  
323

### 324 **8. What Other Safety Information Does FDA Post on Its Web Site?**

325  
326 In accordance with requirements of the Food and Drug Administration Amendments Act of 2007  
327 (FDAAA) and to further our transparency objectives, FDA posts various other types of drug  
328 safety information, in addition to DSCs, on its Web site, including the following:<sup>15</sup>  
329

- 330 • Since 2008, as required by section 921 of FDAAA, FDA has posted on its Web site  
331 reports of potential safety issues with drugs<sup>16</sup> identified as a result of our reviews of  
332 reports to FDA’s Adverse Event Reporting System (AERS). The appearance of a drug  
333 on this list, which is updated quarterly, means that FDA has identified a potential safety  
334 issue (i.e., new safety information or a potential signal of a serious risk), but it does not  
335 mean that FDA has concluded there is a causal relationship between the drug and the risk  
336 described.<sup>17</sup>  
337
- 338 • Since June 16, 2010, FDA has been posting the results of evaluations performed in  
339 accordance with section 915 of FDAAA. Section 915 requires FDA to evaluate marketed  
340 drugs 18 months after approval or after 10,000 individuals have used the drug, whichever  
341 is later. These evaluations are conducted using various sources of available safety  
342 information about marketed drugs to determine whether there are any new serious  
343 adverse events not previously identified during development, known side effects reported

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<sup>15</sup> This is not an all inclusive list but highlights some new categories of drug safety information we have begun to post as required by FDAAA.

<sup>16</sup> See <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Surveillance/AdverseDrugEffects/UCM082196>.

<sup>17</sup> FDA has used the term *safety signal* to refer to a concern about an excess of adverse events compared to what would be expected to be associated with a product's use. See FDA guidance for industry, *Good Pharmacovigilance Practices and Pharmacoepidemiologic Assessment*, available at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.

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344 in an unusual number of patients, or potential new safety concerns now that the drugs are  
345 being used in the general population.<sup>18</sup>

346  
347 • In accordance with section 915 of FDAAA, FDA maintains a list of drugs that have been  
348 approved with Risk Evaluation and Mitigation Strategies (REMS) and copies of those  
349 REMS on its Web site.<sup>19</sup>

### 350 351 **9. What Other Methods Are Used to Communicate Drug Safety Information?**

352  
353 In addition to written communications, FDA uses other communication tools, including  
354 webinars, broadcasts, and conference calls, to disseminate drug safety information. FDA uses  
355 various forms of electronic social media to communicate some safety issues and is continuing to  
356 assess additional ways to communicate effectively with the public using these vehicles.

357  
358 Consistent with FDA’s commitment to the expansion of existing communication channels to  
359 provide targeted drug safety information to the public, FDA is exploring additional methods of  
360 communication, including concise advisories and other Internet postings; more detailed short  
361 articles; articles in trade and professional journals; a standardized, one-document solution for  
362 patient medication information (PMI); and background papers. If new communication tools are  
363 adopted, we intend to update this guidance.

364  
365 Drug sponsors also use various methods to communicate drug safety information. For example,  
366 a sponsor might distribute a Dear Health Care Provider Letter (sometimes referred to as a *Dear*  
367 *Doctor* letter) to convey important information about a marketed drug. A sponsor can issue a  
368 Dear Health Care Provider Letter on its own initiative or following a request or requirement by  
369 FDA. A sponsor can be required to issue a Dear Health Care Provider Letter or other  
370 communication that is approved as part of a communication plan of a REMS. Dear Health Care  
371 Provider letters can be used to disseminate information regarding a significant hazard to health,  
372 to announce important changes in prescribing information, or to emphasize corrections to  
373 prescription drug advertising or prescribing information. Depending on the issue and whether  
374 the communication is tied to a regulatory action, FDA may notify the public when sponsors issue  
375 a Dear Health Care Provider Letter.

### 376 377 **10. Where Is FDA’s Drug Safety Information Located?**

378  
379 All of the drug safety information FDA communicates is available via links found on FDA’s  
380 Web site (e.g., links to the Index to Drug-Specific Information Web page, Drugs@FDA, Safety  
381 and Availability [Biologics] and MedWatch Web pages), as described below.

382  
383 FDA’s Web site provides an easily accessible link to the Index to Drug-Specific Information  
384 Web page (<http://www.fda.gov/cder/drug/DrugSafety/DrugIndex.htm>) from which the public can  
385 access information about drugs that are the subject of a DSC regarding an important, and often

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<sup>18</sup> See <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Surveillance/ucm204091.htm>.

<sup>19</sup> See <http://www.fda.gov/Drugs/DrugSafety/PostmarketDrugSafetyInformationforPatientsandProviders/ucm111350.htm>.

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386 emerging, drug safety issue, as well as established drug safety information. This Index contains  
387 links to available Drug Information Pages for specific drugs (identified by both trade name and  
388 nonproprietary name) that contain approved drug prescribing information, consumer-friendly  
389 information sheets, when available, and other drug information. Drug Information Pages  
390 generally are available for drugs that are new molecular entities, or that have been the subject of  
391 recent safety communications.

392  
393 For drugs without a Drug Information Page, the Web page links consumers to Drugs@FDA,  
394 which contains drug prescribing information and other regulatory information related to  
395 approved drugs (see <http://www.accessdata.fda.gov/scripts/cder/drugsatfda>).

396  
397 FDA's Web site contains the Safety & Availability [Biologics] page from which the public can  
398 access information about CBER-regulated drugs that are the subject of an important safety  
399 communication. ( <http://www.fda.gov/BiologicsBloodVaccines/SafetyAvailability/default.htm> ).  
400 In addition, product information pages for licensed biological products include links to related  
401 safety information.

402  
403 The MedWatch program augments FDA and manufacturer communication of drug safety  
404 information by distributing MedWatch Safety Alerts to individual subscribers and through its  
405 MedWatch Partners Program. Safety information about medical products (including drugs,  
406 biologics, devices, and dietary supplements), such as selected information that is the subject of  
407 Drug Safety Communications, Dear Health Care Provider Letters, press releases, and market  
408 withdrawals, also is available through MedWatch Safety Alerts. This information is available to  
409 the general public on the MedWatch Web site (<http://www.fda.gov/medwatch/safety>), which  
410 contains archived information dating back to 1996.

411  
412 MedWatch, in addition to sending out individual medical product alerts, posts Monthly Safety  
413 Labeling Changes on the Web and also distributes them via an alert.<sup>20</sup> This posting includes  
414 clinically important prescribing information updates to the following sections of the prescribing  
415 information:

- 416 • Boxed Warnings
- 417 • Contraindications
- 418 • Warnings and Precautions
- 419 • Adverse Reactions
- 420 • Patient Package Insert & Medication Guide

### 421 422 **11. How Is Drug Safety Information Updated?**

423  
424 The public can access the most current safety information about a drug through the Index to  
425 Drug-Specific Information and Safety & Availability [Biologics] Web pages. FDA intends to  
426 update the information available on these Web pages on a periodic basis to reflect new  
427 information that becomes available.

428

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<sup>20</sup> See <http://www.fda.gov/Safety/MedWatch/SafetyInformation/Safety-RelatedDrugLabelingChanges/default.htm>.

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429 Emerging drug safety information presented as a DSC is identified by the month and year in  
430 which the information is posted on the Index to Drug-Specific Information Web page. We intend  
431 to update DSCs to describe important new information relevant to the emerging drug safety issue  
432 after the emerging drug safety issue is addressed through revision of prescribing information,  
433 approval of a REMS, request for voluntary withdrawal from the market, or other regulatory  
434 action. We plan to identify updated information with the month and year in which it was added  
435 to the Web site or communicated by other methods. After an emerging safety issue has been  
436 addressed through regulatory action, it is permanently archived (as are all DSCs) on the FDA  
437 Web site.

438  
439 If data become available that provide sufficient evidence that a drug is not associated with the  
440 safety concern previously described by FDA as an emerging drug safety issue, FDA intends to  
441 update the information accordingly. In these instances, we plan to issue a new update of  
442 comparable prominence to the DSC to reflect this new information. Updated DSCs, like all  
443 DSCs, are permanently archived on the Web site.

444  
445 Some important drug safety information may have utility independent of any regulatory action.  
446 For example, sometimes a sponsor may be required to conduct a long-term study or clinical trial  
447 related to an emerging drug safety issue.<sup>21</sup> This is one reason why DSCs remain permanently  
448 archived.

449  
450 FDA recognizes that evaluation of some emerging drug safety issues may not be accomplished  
451 quickly. This may be because of the complexity of an issue or the need for studies or clinical  
452 trials of adequate duration to evaluate a potential risk with a long latency period.<sup>22</sup> In these  
453 cases, archived DSCs create a permanent record of the continued evaluation of the issue. This  
454 will help ensure that important information about ongoing safety issues that may affect a health  
455 care professional's decision to prescribe, or a patient's or consumer's decision to use, a  
456 medication will continue to be communicated.

457  
458 For CBER-regulated products, emerging drug safety information is presented on FDA's Web  
459 page Safety & Availability [Biologics] by the year in which the information is posted. Updates  
460 are provided as new information becomes available.

### **12. How Does FDA Handle Confidential Information About a Drug Safety Issue?**

461  
462  
463 Most of the information currently posted on the Index to Drug-Specific Information Web page is  
464 information that is prepared for public disclosure and contains no confidential information. FDA  
465 may publish related information on the Web page that was not specifically prepared for public  
466 disclosure, such as FDA scientific reviews. This information is reviewed before publication to  
467 ensure that disclosure of this information is in accordance with applicable disclosure laws and  
468 FDA regulations.  
469  
470

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<sup>21</sup> See 21 U.S.C. 355(o)(3).

<sup>22</sup> See draft guidance, *Classifying Significant Postmarket Drug Safety Issues*.

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### 471 **13. Does FDA Involve Sponsors Before Making Emerging Drug Safety Information** 472 **Public?**

473  
474 Our communication of emerging drug safety information is intended to represent FDA's  
475 independent analysis of emerging information and FDA's scientific judgment as to the  
476 appropriate communication of this emerging drug safety information to the public. FDA may  
477 solicit sponsor input when appropriate, for example, to confirm the accuracy of factual  
478 information. FDA strives to notify the relevant sponsor at least 24 hours before the first public  
479 communication that emerging safety information about its drug will be posted on the FDA Web  
480 site.

481  
482 For purposes of this guidance, the relevant sponsor generally is the new drug application (NDA),  
483 biologics license application (BLA), or abbreviated new drug application (ANDA) holder(s) for  
484 the drug or drug class that is the subject of a DSC containing an important drug safety issue. We  
485 recognize that over-the-counter (OTC) drugs subject to one or more final OTC monographs,  
486 rather than approved under an NDA or ANDA, may be manufactured by multiple entities and  
487 thus have multiple relevant sponsors. FDA continues to consider appropriate mechanisms to  
488 facilitate timely notification of affected entities marketing OTC drugs and welcomes comment  
489 on this issue.

490  
491 *Note:* Sponsors are required to report certain adverse drug experience information to FDA in  
492 accordance with the U.S. Food, Drug, and Cosmetic Act (FDCA) and our regulations<sup>23</sup> and may  
493 provide FDA with additional information relevant to a drug safety issue at any time. A sponsor  
494 also may request that the Agency update its communication of emerging drug safety information  
495 if the sponsor provides additional information supporting the request.<sup>24</sup>

### 496 497 **14. Can FDA Risk Communication Be Used in Prescription Drug Promotion?** 498

499 FDA recognizes that some sponsors may consider making promotional comparisons between  
500 their drugs and drugs for which emerging drug safety information has been provided by FDA.  
501 We remind sponsors that all safety and effectiveness claims made in prescription drug  
502 promotion,<sup>25</sup> including claims based on Government materials available from the Index to Drug-  
503 Specific Information, must be supported by substantial evidence or substantial clinical  
504 experience and must not be otherwise false or misleading (21 U.S.C. 355 and 352; 21 CFR  
505 202.1(e)).  
506

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<sup>23</sup> Sponsors of approved NDAs or ANDAs, manufacturers of marketed prescription drugs for human use without approved NDAs or ANDAs, and licensed manufacturers of approved BLAs are required to report adverse experiences to the FDA under 21 CFR 310.305, 314.80, 314.98, and 600.80. Manufacturers of OTC products subject to monographs are required to report serious adverse experiences to the FDA under FDCA section 760.

<sup>24</sup> Any such request should be made in accordance with standard procedures for submitting information concerning a particular drug to FDA (e.g., directed to the appropriate division within the Office of New Drugs, the Office Generic Drugs, or the Office of Nonprescription Products, as appropriate).

<sup>25</sup> The Federal Trade Commission (FTC) has primary responsibility for regulating the advertising of nonprescription drug products.



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507 Neither the fact that FDA has communicated emerging drug safety information for a drug nor the  
508 specific information posted about that drug will generally constitute (either separately or  
509 collectively) substantial evidence or substantial clinical experience that would support a  
510 comparative safety or effectiveness claim. Therefore, comparative claims made in prescription  
511 drug promotion based on an FDA communication of emerging drug safety information (e.g.,  
512 “Our drug is safer because of the emerging drug safety information posted by the FDA about a  
513 competitor’s drug”) may be considered false or misleading.

514  
515 Representations that minimize the implications of emerging drug safety information  
516 communicated by FDA also may be considered false or misleading. For those seeking to explain  
517 to health care professionals what emerging drug safety information means, we refer to the  
518 sections of this guidance that discuss the purpose of disseminating emerging drug safety  
519 information and the nature of the information to be posted on the Index to Drug-Specific  
520 Information Web page.

521

522

### **SUMMARY**

523

524

525 FDA plays a critical role in detecting and managing safety issues that are identified after a drug  
526 is approved for marketing, including a critical role in communicating information to the public.  
527 The actions we take depend on many factors, including the characteristics of the adverse events,  
528 the frequency of the reports, the seriousness of the diseases or conditions for which the drug  
529 provides a benefit, the availability of alternative therapies, and the consequences of not treating  
530 the disease. Despite working toward systematic methods of identifying and disseminating  
531 information about drug safety issues, communicating about drug safety issues will always  
532 require a significant amount of judgment about whether to communicate in a given case and, if  
533 so, what to communicate.

534

535 It is our goal is to make the most up-to-date drug safety information available to the public in a  
536 timely manner so that health care professionals and patients can consider the information when  
537 making decisions about medical treatment, yet be aware of uncertainties in the data. FDA is  
538 committed to providing accurate, clear, reliable, and useful drug safety information.